Date Mailed: January 14, 2002

Sheet 1 of 1

Form 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION	Docket Number: G&C 30794.58-US-WO	Application Number: 09/857,822
	Applicant: Mark S. Sherwin et al.	
	Filing Date: September 24, 2001	Group Art Unit: 2123

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
T.P.	4,749,952	06/07/88	Morimoto	—	—	
T.P.	4,952,792	08/28/90	Caridi	—	—	
T.P.	5,614,435	03/25/97	Mohan et al.	—	—	
T.P.	5,734,174	03/31/98	Horiguchi	—	—	
T.P.	6,074,892	06/13/00	Bowers	—	—	
T.P.	6,239,449	05/29/01	Fafard et al.	—	—	

FOREIGN PATENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

T.P.		Kash, K. et al., "Observation of Quantum Dot Levels Produced by Strain Modulation of GaAs-AlGaAs Quantum Wells", *Journal of Vacuum Science and Technology*, V. 10, No. 4, July 1992, pp. 2030-2033.
T.P.		Sopanen, M. et al., "Strain-induced Quantum Dots by Self-organized Stressors", *Applied Physics Letters*, Vol. 66, No. 18, May 1, 1995, pp. 2364-2366.
T.P.		Lee, H. et al., "Controlled Ordering and Positioning of InAs Self-assembled Quantum Dots", *Journal of Vacuum Science and Technology*", V. 18, No. 4, July 2000, pp. 2193-2196.
T.P.		Lee, H. et al., "Strain-engineered Self-assembled Quantum Dot Lattices", *Applied Physics Letters*, V. 78, No. 1, January 1, 2001, pp. 105-107.

EXAMINER: Thai Phan	DATE CONSIDERED: 6/23/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PAGE 7/13 * RCVD AT 5/27/2005 1:54:49 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/28 * DNIS:2733783 * CSID:+13106418798 * DURATION (mm-ss):04-02

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Sheet 1 of 1

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FOREIGN PATENTS

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T.P.		~~Lee, H. et al., "Controlled Ordering and Positioning of InAs Self-assembled Quantum Dots", *Journal of Vacuum Science and Technology*, V. 18, No. 4, July 2000, pp. 2193-2196.~~ Unavailable
T.P.		Lee, H. et al., "Strain-engineered Self-assembled Quantum Dot Lattices", *Applied Physics Letters*, V. 78, No. 1, January 1, 2001, pp. 105-107.

EXAMINER: Thai Phan	DATE CONSIDERED: 7/20/04

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